



**INITIATION PLAN
FOR A GEF PROJECT PREPARATION GRANT (PPG)**

Country Suriname	
UNDAF Outcome(s)/Indicator(s):	Responsible organizations have the capacity to: design, implement and monitor systems for the management, sustainable use and conservation of biodiversity; to implement measures on the adaptation and mitigation of the effects of climate change
Expected Outcome(s)/Indicator (s):	Responsible organizations have the capacity to establish a mechanism for sustainable land management with a particular emphasis on reducing the vulnerability of the poor and expanded opportunities for sustainable livelihoods.
Expected Output(s)/Indicator(s):	Responsible organizations have acquired demonstrable and enhanced capacities to: manage the conservation and sustainable use of biodiversity; implement measures on the adaptation and mitigation of the effects of climate change; establish a mechanism for Sustainable Land Management

Programme Period: 2008 - 2011
 Programme Component: Energy and Environment
 PPG Title: Suriname Coastal Protected Area Management
 ATLAS Project ID: 00074676
 PIMS Project ID: 4370
 Duration: 10 months
 Management Arrangement: NEX

Total budget:	USD83,444
Allocated resources:	_____
• Government	_____
• Regular	USD49,000
• Other:	
○ GEF	USD34,444
○ Donor	_____
○ Donor	_____
• In kind contributions	

Agreed by Government of Suriname:		Date
Dr. Ricardo van Ravenswaay; Minister of Planning and Development Cooperation		
Agreed by Implementing partner:		Date
Michael Jong Tjien Fa BA; Minister of Physical Planning, Land and Forest Management		
Agreed by UNDP:		Date
Dr. Thomas Gittens; UNDP Country Director		

Brief Description of Initiation Plan

The PPG activities are presented here stepwise, in a logical order. They start with a review of basic arrangements (legal / regulatory and consultation / conflict resolution) in relation to MUMA management. Such a review is needed because these arrangements are not well understood, and are subject and amenable to change. As a second step, the environmental and social baseline situation in the MUMAs along Suriname's west coast will be reviewed in a general manner, as well as the actual implementation of management. This will be important to bring the rationale and urgency for protection in sharper focus, as well as any shortcomings in the management of these MUMAs. The financial and administrative aspects of MUMA management will be reviewed next, including their shortcomings. Finally, PPG funds will be used to develop a monitoring and evaluation (M&E) strategy to track the project's impacts and to measure biodiversity conservation and financial performance trends over the long term. Based on the results of the previous steps, the GEF project proposal will be developed. The project must be designed to satisfy critical needs and bridge critical gaps identified during the Project preparation phase.

GEF PROJECT ID¹: 4180

GEF AGENCY PROJECT ID: 4370

COUNTRY(IES): Suriname

PROJECT TITLE: Suriname Coastal Protected Area Management

GEF AGENCY(IES): UNDP, (select), (select)

OTHER EXECUTING PARTNER(S): MINISTRY OF PLANNING AND DEVELOPMENT COOPERATION (PLOS), AND MINISTRY OF PHYSICAL PLANNING, LAND AND FOREST MANAGEMENT (RGB)

GEF FOCAL AREA(S): Biodiversity

GEF-4 STRATEGIC PROGRAM(S): BD-SP2-Marine PA, and BD-SP1-Finance

NAME OF PARENT/PROGRAM/UMBRELLA PROJECT: NA

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	April, 2010
Completion date of PPG	March, 2011

B. PAST PROJECT PREPARATION ACTIVITIES (\$)

List of Past Project Preparation Activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
Total Project Preparation Financing		0	0	0

C. Proposed project preparation activities (\$)

Describe the PPG activities and justifications:				
<p>The PPG activities are presented here stepwise, in a logical order. They start with a review of basic arrangements (legal / regulatory and consultation / conflict resolution) in relation to MUMA management. Such a review is needed because these arrangements are not well understood, and are subject and amenable to change. As a second step, the environmental and social baseline situation in the MUMAs along Suriname's west coast will be reviewed in a general manner, as well as the actual implementation of management. This will be important to bring the rationale and urgency for protection in sharper focus, as well as any shortcomings in the management of these MUMAs. The financial and administrative aspects of MUMA management will be reviewed next, including their shortcomings. Finally, PPG funds will be used to develop a monitoring and evaluation (M&E) strategy to track the project's impacts and to measure biodiversity conservation and financial performance trends over the long term. Based on the results of the previous steps, the GEF project proposal will be developed. The project must be designed to satisfy critical needs and bridge critical gaps identified during the Project preparation phase.</p>				
Proposed Project	Output of the PPG Activities	Project Preparation	Co-financing (b)	Total c = a + b

¹ Project ID number will be assigned by GEFSEC. If PIF has already been submitted, please use the same ID number as PIF.

Preparation Activities		(a)		
<ul style="list-style-type: none"> • Identification of current MUMA management arrangements and options for their modification 	<ul style="list-style-type: none"> - Assessment of the current legal/regulatory system and consultation/conflict resolution system in relation to MUMAs, their shortcomings, and what changes in these systems are taking place/are being planned - Options identified for reform of MUMA-related legal/regulatory and consultation/conflict resolution systems. 	4,186	7,562	11,748
<ul style="list-style-type: none"> • Determine the baseline situation in the MUMAs the rationale for their protection, and developments that will impact them 	<ul style="list-style-type: none"> - Description of the general environmental, social biophysical, and cultural baseline situation in the MUMAs - Rationale for the protection of the MUMAs - Understanding of ongoing and planned development in the MUMAs, including of their potential major impacts - MUMA monitoring needs identified 	6,986	7,863	14,849
<ul style="list-style-type: none"> • Review of the implementation of the management plans of the MUMAs and of any gaps in these plans and in the capacity to implement them 	<ul style="list-style-type: none"> - Understanding of management actions proposed in existing MUMA management plans - Understanding of recent MUMA management and monitoring activities, incl. of actors and the results of their actions - Identification of major discrepancies between existing MUMA management plans and their recent implementation - Identification of major gaps in institutional and individual capacity for the implementation of the MUMA management plans. This includes identifying the number of MUMA staff to be trained in management plan development, implementation, administration, and financial planning. - Identification of gaps in existing MUMA management plans 	6,986	7,862	14,848
<ul style="list-style-type: none"> • Analysis of the current financial and administrative arrangements for the management of the MUMAs and of options for their reform 	<ul style="list-style-type: none"> - Analysis of the current budget of the GoS for the management of these MUMAs, and of the non-GoS funds recently applied or earmarked for this purpose - Assessment of the general budget needs for adequate management of these MUMAs, based on existing management plans. This includes estimating baseline and target values of funding received from government and non-government sources. - Current financial gaps identified, based on any 	4,186	7,563	11,749

	<p>discrepancy between budgets currently applied to MUMA management and budget needs based on existing management plans.</p> <p>- Options identified for reform of the financing and administration system of MUMA management.</p>			
Development of monitoring and evaluation strategy	<p>-Evaluation of current M&E systems of participating institutions to measure conservation impacts and the financial sustainability of MUMAs.</p> <p>-List of key biological indicators of species and habitats, including their baseline and target values.</p> <p>-Analysis of how partner institutions account for biodiversity impacts and benefits in decision-making and project implementation.</p> <p>-Project M&E plan including baseline levels, indicators, methodologies and targets to track project progress and effectiveness.</p> <p>-Cost-effectiveness analysis protocol to identify optimal interventions, assess lessons learned, and outline replication strategies.</p>	12,100	18,150	30,250
Total Project Preparation Financing		34,444	49,000	83,444

D. Financing plan summary for project preparation grant: (\$)

	Project Preparation	Agency Fee
GEF financing	34,444	3,444
Co-financing	49,000	
Total	83,444	3,444

E. PPG requested by agency(ies), focal area(s) and country(ies) ¹

GEF Agency	Focal Area	Country Name/ Global	(in \$)		
			PPG (a)	Agency Fee (b)	Total c = a + b
(select)	(select)				
Total PPG Requested			0	0	0

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

F. PPG Budget Request

Cost Items	Total Estimated Person Weeks (PW)	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants *	13 (GEF) 13 (COF)	21,450	21,450	42,900
International consultant*	4 (GEF) 6 (COF)	12,100	18,150	30,250
Travel		894	5,000	5,894
Coordination activities			4,400	4,400
Total PPG Budget		34,444	49,000	83,444

*The Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information.

G. GEF Agency(ies) Certification

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
UNDP/GEF Executive Coordinator	<i>Y. Gleuavcc</i>	November 27, 2009	Santiago Carrizosa, Regional Technical Advisor	507 302-4510	Santiago.carrizosa@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Position / Titles	\$/ Person Week¹	Estimated PWS²	Tasks to be performed
Local			
Nature Conservation and Management specialist	1650	7 (GEF) 7(COF)	<ul style="list-style-type: none"> - Carry out an assessment of the baseline situation in the three MUMAs, the rationale for their protection, and the developments that will impact them, which will include: i) sourcing of relevant documents, such as management plans and EIAs, ii) interviewing of relevant actors/stakeholders, iii) carrying out field visits to update/heck desk information; iv) summarizing all relevant information on the environmental, biophysical, social, and cultural baseline of the three MUMAs, and the rationale for their protection, v) summarizing ongoing and planned developments in the three MUMAs and potential impacts, and vi) identifying WCPA monitoring needs in relation to natural habitats, species, processes, and activities that put pressures on these. - Estimate the number of MUMA staff to be trained in management plan development, implementation, administration, and financial planning. - Prepare the review of the implementation of management plans of the three MUMAs and of any gaps in these plans and in the capacity to implement them. - Define operational strategies, coordination and resource needs for effective project implementation. - Propose key biological indicators of species and habitats, including their baseline and target values, together with the Environmental Monitoring and Evaluation Specialist. - Help source information for the international consultant, and help arrange meetings with actors and stakeholders.
Legal and Governance Specialist	1650	2 (GEF) 2(COF)	<ul style="list-style-type: none"> - Carry out an assessment of the current management arrangements for the three MUMAs and options for their modification, which will include: i) sourcing of relevant legal/regulatory documents, ii) interviewing of relevant actors/stakeholders, iii) summarizing of all relevant information on current legal regulatory system, and consultation/conflict resolution system for MUMA management, and what changes in these systems are taking place/are being planned, iv) identifying any major shortcomings in these systems, and v) describing viable options to reform them.
Economist/Financial Specialist	1650	4 (GEF) 4(COF)	<ul style="list-style-type: none"> - Prepare the review of current financial and administrative arrangements for the three MUMAs long Suriname's west coast, and options for their reform, which will include: i) sourcing of relevant financial / administrative statements from GoS and non-GoS sources, ii) interviewing of relevant actors/ stakeholders, iii) summarizing of all relevant information on the current budgets of GoS and non-GoS actors that finance activities in relation to the management of these three MUMAs, iv) summarizing information

			<p>on financial and administrative needs for activities related to the management of these MUMAs, v) identifying any major discrepancies between current budget and financial needs, and vi) describing viable options to reform the financial and administrative system.</p> <ul style="list-style-type: none"> - Determine baseline and target values for the MUMAs funding received from government and non-government sources.
International			
Environmental M&E Specialist	3025	4(GEF) 6(COF)	<ul style="list-style-type: none"> - Digest the information in the reports drafted by the local consultants (cf. above), and put these into perspective (e.g. based on international case studies/ lessons learned internationally) - Develop an M&E plan including baseline analysis, project indicators, methodologies, and protocols for the collection and systematization of information. - Work with the Nature Conservation and Management Specialist on the definition of key biological indicators of species and habitats, including their baseline and target values. - Deliver overall orientation to PPG team in relation to GEF logic and information requirements. - Detailed formulation of incremental cost matrices; final review and definition of strategic workplan and cost- efficiency analysis. - Perform final review and definition of strategic work plan and cost- efficiency analysis.

¹ Or person month, if applicable. Please indicate clearly.

² Provide weeks or months as appropriate that corresponds to the rate provided in the previous column.

Total Budget and Work Plan - Suriname Coastal Protected Area Management

Award ID:	00059649
Award Title:	Suriname Coastal Protected Area Management
Business Unit:	SUR10
Project Title:	GEF PIMS 4370 BD MSP Suriname: Coastal Protected Area Management
Project ID: PIMS no.4370	PIMS 4370; Project ID: 00074676
Implementing Partner (Executing Agency)	Ministry of Physical Planning, Land and Forest Management

GEF Outcome/Atlas Activity	Responsible Party/ Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount
						2010
OUTCOME 1: Identification of current MUMA management arrangements and options for their modification	Physical Planning, Land and Forest Management	62000	GEF TRUSTEE	71300	Local Consultants	8,000
		62000	GEF TRUSTEE	71600	Travel	1,249
		0400	UNDP	75700	Workshops	1,500
		0400	UNDP	74200	Printing & Publication	1,000
OUTCOME 2: Determine the baseline situation in the MUMAs the rationale for their protection, and developments that will impact them	Physical Planning, Land and Forest Management	0400	UNDP	71300	Local Consultants	7,000
		62000	GEF TRUSTEE	71300	Local Consultants	3,000
		62000	GEF TRUSTEE	71600	Travel	2,024
		0400	UNDP	75700	Workshops	1,500
OUTCOME 3: Review of the implementation of the management plans of the MUMAs and of any gaps in these plans and in the capacity to implement them	Physical Planning, Land and Forest Management	0400	UNDP	74200	Printing & Publication	1,325
		62000	GEF TRUSTEE	71300	Local Consultants	10,473
		62000	GEF TRUSTEE	71600	Travel	1,550
		0400	UNDP	75700	Workshops	1,500
OUTCOME 4: Analysis of the current financial and administrative arrangements for the management of the MUMAs and of options for their reform	Physical Planning, Land and Forest Management	0400	UNDP	74200	Printing & Publication	1,000
		0400	UNDP	71300	Local Consultants	8,000
		62000	GEF TRUSTEE	71600	Travel	1,248
		0400	UNDP	75700	Workshops	1,500
OUTCOME 5: Development of monitoring and evaluation strategy to measure the performance and impacts of the MSP on the sustainability of MUMA management	Physical Planning, Land and Forest Management	0400	UNDP	74200	Printing & Publication	500
		0400	UNDP	71200	International Consultants	22,100
		62000	GEF TRUSTEE	71200	International Consultants	4,350
		62000	GEF TRUSTEE	71600	Travel	2,550
		0400	UNDP	75700	Workshops	750
PROJECT TOTAL						83,444

Summary of Funds:	COF	49,000
	GEF	34,444
	TOTAL	83,444

Outcome	Specialists	
1	Legal and Governance Specialist	11,749
2	Nature Conservation and Management Specialist	14,849
3	Nature Conservation and Management Specialist	14,848
4	Finance / Economist Specialist	11,749
5	Environmental M&E Specialist	30,250
	Total	83,444